

Meeting SFC requirements - disclosure of climate-related risk by fund managers

Who needs to disclose: all funds under SFC regulation (License 9)

Who Ascent Partners can help the best: A boutique Asset Manager (AUM <\$8B USD, ~50 investments in the portfolio)

Disclosure Annually: Nov 2022

What needs to be reported under the SFC requirements (Template ready by Ascent Partners; more details upon request)

Governance structure	Investment Process
Portfolio Risk, Management and Operations	Disclosures

Frequently Asked Questions:

1. Where is the data from?

- If your investment is a HKEX listed public company, climate data (e.g. Green house Gas emission, use of resources, etc.) is reported under HKEX regulations in its annual ESG report. All data is collected (systematically or manually) from those published reports. Ascent Partners ESG experts with deep domain knowledge can most accurately and efficiently extract all relevant data from those companies as many of their ESG reports are prepared by Ascent Partners.
- If your investment is a private company, Ascent Partner can help you perform simple due-diligence with all this data

2. Is there a reporting template?

Ascent Partners ESG experts have developed a ready-to-use template, providing maximum efficiency.

3. Why don't I just do it myself given all public information? Why Ascent Partners?

You may need expert help to fully understand the requirements of TCFD (Task Force on Climate-related risk Financial Disclosure), as referenced in the SFC requirement. Furthermore, you will need some expert knowledge to extract all the public information during the working process. Should there be some abnormality or missing information, you may not be able to identify and ratify the information that you have. The team at Ascent Partners has more than 20 years of experience in environmental protection and Corporate Social responsibility. We promise you a hassle-free solution.